Expréss Mail No.

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0851-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27 (\$) 0.00

TOTAL AMOUNT OF PAYMENT

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Complete if Known			
Application Number	10/052,659		
Filing Date	01/18/2002		
First Named Inventor	Carl D. Dvorak		
Examiner Name			
Art Unit	2122		
Attomos Dookst No.	310265 00236		

METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued)						
Check Credit card Money Other None 3. ADDITIONAL FEES						
Deposit Account:			Small			
Deposit Account 17-0055	Fee Code	Fee (\$)	Code	Fee (\$)	Fee Description	Fee Paid_
Number	1051	130	2051		Surcharge - late filing fee or oath	
Deposit Account Name Quarles & Brady LLP	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
The Director is authorized to: (check all that apply)	1053	130	1053		Non-English specification	
Charge fee(s) indicated below Credit any overpayments	1812	2,520	1812		For filing a request for ex parte reexamination	
Charge any additional fee(s) or any underpayment of fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month	
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month	
Fee Fee Fee Fee Description Fee Paid Code (\$)	1254	1,480	2254	740	Extension for reply within fourth month	
1001 770 2001 385 Utility filing fee	1255	2,010	2255	1,005	Extension for reply within fifth month	
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402	330	2402		Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 0.00		110	2452	55	Petition to revive - unavoidable	
	1453	1,330	2453	665	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)	
Extra Claims below Fee Paid	1502	480	2502	240	Design issue fee	
Total Claims	1503	640	2503	320	Plant issue fee	
Claims -38 = X = 0.00 Multiple Dependent	1460	130	1460	130	Petitions to the Commissioner	
	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity Fee Fee Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt	
Code (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be	-
1204 86 2204 43 ** Reissue independent claims over original patent	1801	770	2801		examined (37 CFR 1.129(b))	
1205 18 2205 9 ** Reissue claims in excess of 20	1801	900	1802		Request for Continued Examination (RCE) Request for expedited examination	
and over original patent	1002	555		550	of a design application	
SUBTOTAL (2) (\$) 0.00	SUBTOTAL (2) (\$) 0.00 Other fee (specify)					
**or number previously paid, if greater, For Reissues, see above	*Redu	ced by	Basic F	iling Fo	ee Paid SUBTOTAL (3) (\$) 0.00	

SUBMITTED BY (Complete (if applicable)) Registration No. Name (Print/Type) Nicholas J. Seay 27,386 Telephone 608-251-5000 June 9, 2004 Signature Date

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. I hereby certify that this correspondence is being deposited with the United States Postal Service on the date set forth below as First Class Mail in an envelope addressed to: Commissioner for Patents, P O Box 1450, Alexandria, VA 22213-1450.

Date of Signature and Deposit: June 9, 2004

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Carl D. Dvorak

Khiang Seow Charles Young

Serial No.: 10/052,659

Filed: 01/18/2002

Title: HEALTHCARE INFORMATION SYSTEM

WITH CLINICAL INFORMATION EXCHANGE

Date: June 9, 2004

Group Art Unit: 2122

Examiner:

Nicholas J. S

File No.: 310265.90236

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

1

Enclosed is a completed Form PTO-1449 listing documents that the applicants in the above-identified application wish to bring to the attention of the Examiner for consideration in connection with the examination on the merits of this application.

No fee is believed due in connection with this submission. However, if a fee is due, please charge the fee to Deposit Account No. 17-0055.

Respectfully submitted,

Nicholas J. Seay

Reg. No.: 27,386

Attorney for Applicants
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P.O. Box 2113

Madison, WI 53701

TEL 608/251-5000 FAX 608/251-9166



U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE uction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Under the

Substitute for form 1449/PTO		Complete if Known			
			Application Number	10/052.659	
INI	FORMATION DIS	SCLOSURE	Filing Date	01/18/2002	
			First Named Inventor	Carl Dvorak	
51	TATEMENT BY A		Art Unit	2122	
	(Use as many sheets as	necessary)	Examiner Name		
oot	1 of	2	Attorney Docket Number	310265 00236	

Examiner	Cita	Document Number	Publication Date	Name of Patentee or	Dagge Calumna Lines When
Initials* No.	Cite No. ¹	No.1 Number-Kind Code ^{2 (f known)}	Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		^{US-} 6,263,330	07/17/2001	Bessette	
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		FORE	IGN PATENT DOCU	JMENTS		\neg
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T⁵
		WO 00/65522	11/02/2000	Software Partners		
						-

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Nich of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO	Complete if Known			
	Application Number	10/052,659		
INFORMATION DISCLOSURE	Filing Date	01/18/2002		
STATEMENT BY APPLICANT	First Named Inventor	Carl Dvorak		
(Use as many sheets as necessary)	Art Unit	2122		
(Ose as many sneets as necessary)	Examiner Name	·		
Sheet 2 of 2	Attorney Docket Number	310265.90236		

		OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		Grimson et al., "Interoperability Issues in Sharing Electronic Healthcare Records - the Synapses Approach," IEEE International Conference on Engineering of Complex Computer Systems 180-185 (1997)	
			

Examiner	Date	
Signature	 Considered	·

^{**}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.